

Docket No.: A0345.0025

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Christoph Binkert et al.

Application No.: 10/580,169

Filed: 05/19/2006

For: NOVEL THIAZOLIDIN-4-ONE

**DERIVATIVES** 

Confirmation No.: n/a

Art Unit: n/a

Examiner: Not Yet Assigned

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450



Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

## Timing of Filing of the Information Disclosure Statement:

This IDS is being filed before the First Office Action<sup>1</sup>.

<sup>&</sup>lt;sup>1</sup> The IDS should, where possible, include a certification under 37 C.F.R. §1.97(e).

	This IDS is being filed after the issuance of the First Office Action but before the issuance of a Final Office Action <sup>2</sup> .
	This IDS is being filed after the issuance of a Final Office Action, Ex Parte Quayle Action or Notice of Allowance but before the payment of the Issue Fee <sup>3</sup> .
Certif	fications:
If che	cked, the undersigned makes the following statement(s):
	Statement under 37 CFR § 1.97(e):
	Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; or  No item of information contained in this information disclosure statement was cited in
	a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in $$1.56(c)$ more than three months prior to the filing of the information disclosure statement.
	Statement Under 37 C.F.R. § 1.704(d):

<sup>&</sup>lt;sup>2</sup> The IDS *must* include *either* a certification under 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p).

<sup>&</sup>lt;sup>3</sup> The IDS must include both a certification under 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p).

Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart application less than thirty days prior to the filing of this information disclosure statement.

Fee R	equired by $37$ C.F.R. § 1.97(c)(2) or 1.97(d)(2):
	If checked, the fee of \$180.00 set forth in 37 C.F.R. \$1.17(p) is attached.
Copie	es of Information:
In acc	ordance with 37 C.F.R. §1.98(a), the following is enclosed:
$\boxtimes$	A legible copy <sup>4</sup> of each document (or relevant portion thereof) cited in the attached PTO/SB/08, except for U.S. patent and U.S. published applications.
$\boxtimes$	A copy [and, where not in the English language, a translation] of at least the relevant portion(s) <sup>5</sup> of the International Search Report for PCT/EP2004/012953 in which the information was cited.
	With respect to any information which is not in English, a concise explanation of the relevance, as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the information, is attached. This concise explanation is provided by way of:
	A translation of the relevant portions of the non-English language information <sup>6</sup> ;

<sup>&</sup>lt;sup>4</sup> A legible copy of the document is not required if (1) the information was previously cited by, or submitted to, the Office and considered by the Office in a prior U.S. application to which this application claims priority, provided that the prior application is properly identified in this IDS, and (2) the IDS submitted in the earlier application complies with 37 C.F.R. § 1.98(a) – (c). This exception does not apply to information cited in an International Application.

<sup>&</sup>lt;sup>5</sup> The relevant portion is that portion which indicates the degree of relevance found by the foreign patent office. This may be an explanation of which portion of the of the reference is particularly relevant, to which claims it applies, or merely an "X", "Y", or "A" indication on a search report. MPEP \$609 III A(3).

	A statement e	explaining the relevant po	rtions of the non-	English language
inf	formation; or			
	_			
	Information i	is contained in the specific	cation of the prese	nt application for
Cit	tation No., which	ch is described in the spec	rification on page	, beginning on
lin	e Citation No.	is described in the specif	ication on page a	s which is a
typ	pographical error a	and should be Japanese A	pplication Numbe	r ;
In accord	lance with 37 C.F.	R. 1.98(d), copies of the	cited documents a	are not enclosed
as they were prov	vided in applicatio	n Serial No.	, filed	, which the
present application	on relies upon for	an earlier effective filing of	date under 35 Ú.S	.C. 120.

## Materiality:

Whether or not the information and references disclosed in this Information Disclosure Statement is "material" pursuant to 37 CFR 1.56, this submission is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

<sup>&</sup>lt;sup>6</sup> 37 C.F.R. §1.98(a)(3)(ii) requires that an English language translation be provided when a translation of the document, or portion thereof, "is within the possession, custody or control of, or is readily available to any individual designated in 37 C.F.R. § 1.56(c)."

In the event the actual fee is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account No. 50-2215.

By\_

Dated: October 17, 2006

Respectfully submitted,

Charles E. Miller

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New York, New York 10036-2714

(212) 277-6500 Attorney for Applicant



Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

	Commission of Manual	-			
Complete if Known					
Application Number	10/580,169				
Filing Date	May 19, 2006				
First Named Inventor	Christoph Binkert				
Art Unit	N/A				
Examiner Name	Not Yet Assigned				
Attomey Docket Number	A0345.0025				

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	AA*	US-2004/0167192-A1	08-26-2004	Solow-Cordero et al.			

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code³ -Number⁴-Kind Code⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	ВА	EP-1 219 612-A1	07-03-2002	Shionogi & Co., Ltd.			
	ВВ	WO-96/20936-A1	07-11-1996	Sunkyong Industries Co., Ltd.			
	ВС	WO-2004/007491-A1	01-22-2004	Applied Research Systems ARS Holding N.V.			

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
	CA	Ma, Tonghui et al.; "High-affinity Activators of Cystic Fibrosis Transmembrane Conductance Regulator (CFTR) Chloride Conductance Identified by High-throughput Screening"; The Journal of Biological Chemistry, Vol. 277, No. 40, Issue of October 4, pp. 37235-37241, 2002.				
	СВ	Janusz, John M. et al., "New Cyclooxygenase-2/5-Lipoxygenase Inhibitors. 3. 7-tert-Butyl-2,3-dihydro-3,3-dimethylbenzofuran Derivatives as Gastrointestinal Safe Antiinflammatory and Analgesic Agents: Variations at the 5 Position"; Journal of Medicinal Chemistry, Vol. 41, 1998, pps 3315-3529.				
	СС	Carter, Percy H. et al.; "Photochemically enhanced binding of small molecules to the tumor necrosis factor receptor-1 inhibits the binding of TNF-α"; Proceedings of the National Academy of Sciences of the United States of America, Vol. 98, No. 21, October 9, 2001, pps 11879-11884.				
	CD	Berge, Stephen M. et al.; "Pharmaceutical Salts"; Journal of Pharmaceutical Sciences, Vol. 66, No. 1, January 1977, pps 1-19.				
	CE	Gould, Philip L., et al.; "Salt selection for basic drugs"; International Journal of Pharmaceutics, Vol. 33, 1986, pps. 201-217.				
	CF	Mark Gibson, Editior, Pharmaceutical Preformulation and Formulation, IHS Health Group, Englewood, CO, USA, 2001.				
	CG	Remington, The Science and Practice of Pharmacy, 20th Edition, Philadelphia College of Pharmacy and Science.				

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Date Signature Considered			
Considered	iner	Date	
Signature   Considered	ture	Considered	

Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.